



Assessment of air quality benefits from national air pollution control policies in China. Part I: Background, emission scenarios and evaluation of meteorological predictions

Litao Wang^{a,b}, Carey Jang^c, Yang Zhang^d, Kai Wang^d, Qiang Zhang^e, David Streets^e, Joshua Fu^f, Yu Lei^b, Jeremy Schreifels^{b,g}, Kebin He^b, Jiming Hao^{b,*}, Yun-Fat Lam^f, Jerry Lin^h, Nicholas Meskhidze^d, Scott Voorhees^c, Dale Evarts^c, Sharon Phillips^c

^a Department of Environmental Engineering, Hebei University of Engineering, Handan, Hebei 056038, China

^b Department of Environmental Science and Engineering, Tsinghua University, Beijing 100084, China

^c U.S. Environmental Protection Agency, Research Triangle Park, NC 27711, USA

^d Department of Marine, Earth and Atmospheric Science, North Carolina State University, Raleigh, NC 27695, USA

^e Decision and Information Sciences Division, Argonne National Laboratory, Argonne, IL 60439, USA

^f Department of Civil and Environmental Engineering, University of Tennessee, TN 37996, USA

^g U.S. Environmental Protection Agency, Washington, DC 20460, USA

^h Department of Civil Engineering, Lamar University, Beaumont, TX 77710, USA

ARTICLE INFO

Article history:

Received 17 October 2009

Received in revised form

25 May 2010

Accepted 28 May 2010

Keywords:

Air pollution in China

Air quality modeling

Emission control

MM5/CMAQ

11th FYP

ABSTRACT

Under the 11th Five Year Plan (FYP, 2006–2010) for national environmental protection by the Chinese government, the overarching goal for sulfur dioxide (SO₂) controls is to achieve a total national emissions level of SO₂ in 2010 10% lower than the level in 2005. A similar nitrogen oxides (NO_x) emissions control plan is currently under development and could be enforced during the 12th FYP (2011–2015). In this study, the U.S. Environmental Protection Agency (U.S.EPA)'s Community Multi-Scale Air Quality (Models-3/CMAQ) modeling system was applied to assess the air quality improvement that would result from the targeted SO₂ and NO_x emission controls in China. Four emission scenarios — the base year 2005, the 2010 Business-As-Usual (BAU) scenario, the 2010 SO₂ control scenario, and the 2010 NO_x control scenario—were constructed and simulated to assess the air quality change from the national control plan. The Fifth-Generation NCAR/Penn State Mesoscale Model (MM5) was applied to generate the meteorological fields for the CMAQ simulations. In this Part I paper, the model performance for the simulated meteorology was evaluated against observations for the base case in terms of temperature, wind speed, wind direction, and precipitation. It is shown that MM5 model gives an overall good performance for these meteorological variables. The generated meteorological fields are acceptable for using in the CMAQ modeling.

© 2010 Elsevier Ltd. All rights reserved.

1. Introduction

During the 10th Five Year Plan (FYP, 2001–2005) period, China maintained 9.48% annual average growth rate of the national economy. The primary energy consumption increased by 62% from 1.38 G tons of standard coal equivalent (tce) in 2000 to 2.24 G tce in 2005 (NBS, 2007). The Chinese government implemented several new policies during the 10th and 11th FYP to improve environmental pollution control efforts and reduce energy consumption. These policies were designed to prevent emission increases during

a time of rapid growth in the economy and energy demand. During the 10th FYP period, environmental investment in China was double the investment during the 9th FYP (1995–2000) (SCPRC, 2007). Local governments placed more emphasis on environmental improvement for key areas, cities, and rivers; many inefficient and polluting industries were shut down or renovated; and investment in environmental infrastructure was accelerated. As a result, the air quality in most Chinese cities did not deteriorate despite the rapid economic growth. In 2000, the concentrations of the key air pollutants (coarse particulate matter (PM₁₀, particles with aerodiameter less than or equal to 10 μm), sulfur dioxide (SO₂) and nitrogen dioxide (NO₂)) in 36.5% of 338 monitored cities reached the China National Ambient Air Quality Standards (CNAAQs) while 33.1% of monitored cities suffered severe air

* Corresponding author. Tel.: +86 10 62782195; fax: +86 10 62773650.
E-mail address: hjm-den@tsinghua.edu.cn (J. Hao).

pollution, exceeding the Grade III air quality standards (MEP, 2000, 2001–2006). By 2005, the number of monitored cities increased to 522 with the addition of smaller cities with relatively better air quality. In 2005, 60.2% of the monitored cities reached CNAQS and the number of highly-polluted cities declined to 10.6% (MEP, 2001–2006), demonstrating an evident improvement in air quality.

Despite progress, several goals of the 10th FYP were not achieved. The national SO₂ and dust emission targets were set at levels 10% below 2000 levels. However, the total emissions of SO₂ during the five years increased by 27.8% from 19.95 Mt to 25.49 Mt. Moreover, soot and industrial dust emissions increased by 1.5% and 16.6%, respectively (SCPRC, 2007).

In an attempt to address these challenges, the Chinese government published the 11th FYP for national environment protection in 2007. The 11th FYP included five major goals including a goal to reduce SO₂ emissions in 2010 by 10% from the 2005 level, primarily targeting emissions from the power sector. In addition, many large point sources in heavily-polluted regions are required to accelerate deployment of combustion modifications for NO_x emission control (SCPRC, 2007).

The purpose of this study is to assess the air quality benefits that would result from the planned SO₂ and NO_x emission control efforts during the 11th FYP. The U.S.EPA's CMAQ modeling system is applied to simulate air quality in China. The CMAQ modeling system, available publicly, is an air quality modeling system that has been broadly used to study the formation and transport of multiple air pollutants and assess the air quality benefits resulting from emissions control (Byun and Schere, 2006). The CMAQ modeling system has been extensively evaluated by several modeling studies in Asia by Zhang et al. (2006a), Uno et al. (2007), Fu et al. (2008), Wang et al. (2008a,b) and Liu et al. (2010, in press). A number of recent studies have employed CMAQ to estimate air quality changes in China and the East Asia region, e.g., Zhang (2004, 2005), Zhang et al. (2004, 2007c) applied CMAQ to simulate SO₂, sulfate, nitrate, ammonia, organic carbon and total aerosols in East Asia. Quan and Zhang (2008) and Quan et al. (2008) used CMAQ to assess the impact of ammonia and sulfate emissions on sulfur transport and deposition in China. Several studies estimated the NO₂ column to evaluate recent NO₂ emission trends (He et al., 2007; Shi et al., 2008). CMAQ has not, however, been used to assess emission control policy at the national level. Several regional

scale modeling studies have used CMAQ to evaluate pollution control efforts and transboundary air pollution over the Beijing area for the 2008 Olympics (Chen et al., 2007; Cheng et al., 2007; Streets et al., 2007; Wang et al., 2008a,b), in the Yangtze River Delta (Li et al., 2008), in Pearl River Delta (Feng et al., 2007; Wei et al., 2007), and, under different control scenarios, in Shandong province (Wang et al., 2005). Wang et al. (2005) had a similar methodology to our study, but they assessed only provincial level air quality changes.

In this study, the base case of CMAQ simulation is evaluated using available observations and the control case simulations are then used to assess the benefit of emission controls. Multi-pollutant assessment of key air quality issues, including particulate matter, ozone (O₃), visibility, acid rain, and nitrogen deposition is conducted for a base year (2005) and a future projected year (2010). Three scenarios are constructed: the 2010 BAU scenario using the predicted economic growth and no additional controls from 2005, the 2010 SO₂ control scenario using the national SO₂ emission control plans for 11th FYP, and the 2010 NO_x control scenario with 10% NO_x emission reduction from the 2005 level. CMAQ simulations for the three emission scenarios are conducted to evaluate the air quality changes from the planned national SO₂ controls and NO_x controls. It should be noted that the 10% reduction in NO_x emission is not required by the 11th FYP but potentially may be included in the 12th FYP. The objective of modeling this scenario is to assess the air quality benefits from NO_x controls to provide policy guidance for the 12th FYP.

2. Model configurations and inputs

2.1. Domain and episode

The modeling domain covers most of China and part of East Asia with a 36 × 36 km grid resolution, as shown in Fig. 1. A Lambert projection with the two true latitudes of 25°N and 40°N is used. The domain origin is (34°N, 110°E), and the coordinates of the southwest corner are (x = -2934 km, y = -1728 km). The selected model simulation periods include January, April, July and October, 2005, representing the four seasons in 2005. The year 2005 was selected mainly because it is the end year of the 10th FYP and the start year of the 11th FYP. According to the statistics of the China Ministry of



Fig. 1. The Models-3/CMAQ modeling domain at a horizontal grid resolution of 36-km (164 × 97 cells). The MM5 modeling domain is three grid cells broader on each side of the CMAQ domain. The diamond indicates the location of the continuous PM_{2.5} monitoring site located in the campus of Tsinghua University (THU).

Environmental Protection (MEP, 2001–2006), the national emissions of SO₂ and fly ash maintained a relatively stable annual growth rate from the year 2002–2005. Then the emission trends began to change since the year 2006 as a result of the new emission controls planned in the 11th FYP. Therefore, 2005 is an appropriate baseline to reflect air quality and pollution control during the 11th FYP. The meteorological conditions in 2005 were slightly different than the historical average, with higher than normal average temperatures across China in 2005 and higher than average precipitations (Xiao and Xu, 2005).

2.2. Configurations and inputs

MM5 Version 3.7 is applied to generate the meteorological fields needed for the Models-3/CMAQ simulations. In the MM5 simulations, 23 sigma levels are selected for the vertical grid structure with the model top pressure of 100 mb at approximately 15 km. The height of the first 12 levels extends up to 2 km from the surface with the lowest level at approximately 40 m.

The MM5 data come from a number of sources. Terrain and land use data are from the U.S. Geological Survey (USGS) database (ftp://ftp.ucar.edu/mesouser/MM5V3/TERRAIN_DATA/). First-guess fields and the initial conditions for MM5 are from the National Center for Environmental Prediction (NCEP) final analysis datasets. The data for the objective analysis, using a four dimensional data assimilation (FFDA) technique, are from NCEP Automated Data Processing (ADP) data. The major physics options used in the MM5 simulations include the Kain-Fritsch 2 cumulus scheme (Kain and Fritsch, 1993; Kain, 2002), the high resolution Blackadar PBL scheme (Zhang and Anthes, 1982), the NCEP/Oregon State University/Air Force/Hydrologic Research Lab (NOAH) land surface model, the mixed phase (Reisner 1) explicit moisture scheme for cloud microphysics (Reisner et al., 1998), the cloud-radiation shortwave radiation scheme, the Rapid Radiative Transfer Model (RRTM) longwave radiation scheme (Mlawer et al., 1997) and the force/restore (Blackadar) surface scheme (Blackadar, 1976; Deardorff, 1978). The Meteorology-Chemistry Interface Processor (MCIP) version 3.2 is applied to process the meteorological data in a format required by CMAQ. The same meteorological field generated by MM5 for the base year 2005 is used for all the 2010 scenarios. Future climate change is not considered in this study.

Models-3/CMAQ is a three-dimensional Eulerian atmospheric chemistry and transport modeling system, which can simulate multiple air pollution issues and their interactions simultaneously, such as ozone, acid deposition, visibility, and fine particulate matter throughout the troposphere. It can simulate spatial scales from local to hemispheric. The detailed information on chemical and transport processes in CMAQ is described in Byun and Ching (1999). CMAQ version 4.6 officially released in September 2007 is applied in this study to simulate the air quality under each emission scenario. The vertical resolution of CMAQ includes fourteen layers from the surface to the tropopause with denser layers at lower altitudes to resolve the planetary boundary layer (PBL). The corresponding sigma levels are 1.000, 0.995, 0.988, 0.980, 0.970, 0.956, 0.938, 0.893, 0.839, 0.777, 0.702, 0.582, 0.400, 0.200 and 0.000. These sigma levels are a subset of the original MM5 vertical structure and are interpolated through the MCIP. The 2005 Carbon Bond Mechanism (CB05) with aqueous and aerosol extensions and the Aero 4 model derived from the Regional Particulate Model (RPM) (Binkowski and Shankar, 1995) are chosen for the gas-phase chemistry and aerosol modules, respectively. Particulates are represented using a modal approach with two modes: fine and coarse particles (e.g., PM_{2.5} and PM_{10-2.5}). The aqueous/cloud chemical mechanism, which is adapted from the Regional Acid Deposition Model (RADM), is applied in the modeling.

A spin-up period of six days is used for all model simulations to reduce the influence of initial conditions on model results. The initial conditions (ICON) and boundary conditions (BCON) are extracted from the global GEOS-CHEM (GEOS-CHEM model (<http://acmg.seas.harvard.edu/geos/>)). The total ozone column data from the Total Ozone Mapping Spectrometer (TOMS) are used in the photolysis rates processor (JPROC) to calculate the photolysis rate for various altitudes, latitudes, and zenith angles.

3. Emission scenarios

3.1. Base year 2005

The general methodology used to develop the China regional emission inventory is described in Streets et al. (2003, 2006) and Zhang et al. (2007a). Using the same general approach, we implemented an improved technology-based methodology to include the types of technology currently operated in China. We also implemented a new anthropogenic particulate matter (PM) emission model of Zhang et al. (2007b) to calculate primary PM emissions, including PM₁₀ and PM_{2.5}. Activity data, such as energy consumption, industrial production and population, are from statistics published by a variety of local and regional governmental agencies of China (NBS, 2002a,b, 2004, 2005a–c; AISIC, 2002, 2006). Fuel consumption by sector and by province is derived from the China Energy Statistical Yearbook (NBS, 2005b). Technology distribution within each sector is obtained from a wide variety of Chinese technology reports (Zhou, 2003; MMBI, 2000) and an energy demand modeling approach (SEI, 2001). Emission factors are based primarily on measurements in China with estimates based on real-world technology deployment and practices. In some cases, where local data and information are lacking, we use adjusted emission factors for similar activities from international databases, such as the U.S. EPA's AP-42 Database (U.S. EPA, 1996). In general, the methodology is similar to that used in the emission inventory for the Intercontinental Chemical Transport Experiment-Phase B (INTEX-B) mission. The detailed description of this methodology system is described in Zhang et al. (2009).

With the updated methodology, we have constructed a new emission inventory for China for the year 2004 based on official governmental statistics. The new inventory includes the four major gaseous species (SO₂, NO_x, carbon monoxide (CO), and non-methane volatile organic compounds (NMVOCs)) and four primary aerosol species (PM₁₀, PM_{2.5}, black carbon (BC) and organic carbon (OC)). When this inventory was developed in 2006, most of the available statistics for Chinese provinces were for 2004. We therefore developed a new set of growth algorithms based on the increase of total energy consumption to extrapolate the statistics-based 2004 inventory to 2005. The projected emissions in China in 2005 are 28.3 Tg SO₂, 19.8 Tg NO_x, 163.7 Tg CO, 22.4 Tg NMVOCs, 18.3 Tg PM₁₀, 13.4 Tg PM_{2.5}, 1.8 Tg BC, and 3.2 Tg OC. In INTEX-B, the 2006 national emissions are 31.0 Tg SO₂, 20.8 Tg NO_x, 166.9 Tg CO, 23.2 Tg NMVOCs, 18.2 Tg PM₁₀, 13.3 Tg PM_{2.5}, 1.8 Tg BC, and 3.2 Tg OC (Zhang et al., 2009), in which the SO₂ and NO_x emissions are 9.5% and 5.1% higher, respectively, relative to the 2005 level in this study. Emissions of other pollutants are close in these two years.

To support CMAQ modeling, emissions are distributed into the 36 km × 36 km grid cells using various spatial proxies at a grid resolution of 1 km × 1 km using the methodology described in Streets et al. (2003) and Woo et al. (2003). NMVOC emissions are further disaggregated into 17 chemical species based on the 2005 CB05 chemical mechanism that is used in the CMAQ simulations. The NMVOC emissions are speciated into about a total of 500 species by using VOC source profiles (U.S. EPA's Database of Speciated Emission Profiles, SPECIATE, <http://www.epa.gov/ttn/>)

chief/software/speciate/) and each of the species is mapped to the CB05 species based on a very detailed chemical reaction mapping table.

3.2. 2010 Scenarios

The 2010 BAU scenario is used as a baseline for the evaluation of the air quality benefits from SO₂ and NO_x controls. While the 2010 SO₂ control scenario is based on the planned emission reduction in the 11th FYP, the 2010 NO_x control scenario is designed to address additional NO_x controls needed to bring key air pollutants such as O₃ and PM_{2.5} into attainment by 2010, as NO_x is an important precursor for both O₃ and secondary particulate nitrate.

During the 10th FYP period, consumption of coal and petroleum in China increased by about 63.7% and 45.8%, respectively (NBS, 2007; Jiang, 2008). In the 2010 BAU scenario, the growth rate and emission factors for coal and petroleum are assumed to be the same as the measured growth rate and emission factors during the 10th FYP. In China, coal is a major energy source for power plant, industry, commercial, and residential sources, the emissions from these sectors are assumed to increase by 63.7% in 2010 relative to the 2005 level. The emissions growth from transportation in 2010 is assumed to be 45.8%, consistent with the growth rate of petroleum consumption between 2001 and 2005.

In the 2010 SO₂ control scenario, the SO₂ emission inventory is based on the objectives of the national 11th FYP for environmental protection. Its objective is to reduce SO₂ emissions by 10% relative to the 2005 level. This goal requires significant SO₂ emission reductions from power plants through the installation of desulfurization equipment. Emissions from other sources, such as industry, commercial, residential, and transportation, are required to remain at the 2005 level through the use of fuel modifications and emission control technologies. MEP provided the 2010 projected emission inventory for power plants, including planned power plants. Other pollutant emissions are assumed to be the same as the 2010 BAU scenario.

The objective of the 2010 NO_x control scenario is to provide scientific information to policymakers about the benefits of NO_x emission control. Although the 11th FYP does not currently have a NO_x objective, the government is in the process of developing a national plan for NO_x emission control. In the 2010 NO_x control scenario, NO_x emissions are assumed to decrease by 10% from the 2005 level, equal to the SO₂ reduction target of the 11th FYP. Other pollutant emissions are assumed to be the same as the 2010 BAU scenario.

Fig. 2 summarizes the national anthropogenic emissions of SO₂ and NO_x for each scenario. If the national SO₂ control policy is implemented successfully, the total SO₂ emissions in 2010 will

decline by as much as 21% from the 2005 level and 52% from the 2010 BAU scenario. This number is higher than the 10% overarching goal because the MEP set up a very strict and detailed control plan for power sector to guarantee the reduction in the national SO₂ emissions in 2010. The 10% NO_x emission reduction in 2010 from the 2005 level requires a 43% reduction from the 2010 BAU scenario. The substantial reduction in both SO₂ and NO_x emissions poses a significant challenge in the development of control policies, deployment of control technologies, and enforcement of emission control regulations.

Fig. 2 also illustrates the relative contributions of each sector to total SO₂ and NO_x emissions in 2005 and 2010 are different. The relative contributions change between the base year and projections. For example, SO₂ emissions from power plants decrease from approximately 60% of total SO₂ emissions in 2005 to below 50% in 2010, while industrial SO₂ emissions increase from 31% of total SO₂ emissions to 40%.

4. Evaluation of meteorological predictions

The air quality predictions rely on the accuracy of the meteorological predictions. However, at present, there are no strict guidelines describing how to systematically and objectively evaluate the performance of the MM5 model at present (Johnson, 2003). Due to the limited observational data available for China, the MM5 evaluation is restricted to the following parameters: temperature at 2-m (T2), wind speed and wind direction at 10-m (WS10 and WD10, respectively), and daily precipitation. These parameters are the key attributes of the meteorological modeling performance (Miao et al., 2007). All the observational data are obtained from the National Climatic Data Center (NCDC), where hourly or every third hour observations are available. The geo-spatial distribution of the site locations is shown in Fig. 3. All the meteorological evaluations are conducted in terms of temporal variations at 12 major Chinese cities and domain-wide statistical analysis over all the monitoring stations. The 12 cities include Beijing, Shanghai, Guangzhou, Changsha, Hangzhou, Wuhan, Guilin, Nanning, Xi'an, Xining, Hohhot and Shenyang. Domain-wide analysis is performed to evaluate model results. The detailed analysis of the temporal variations at the 12 cities can be found in the report of Fu et al. (2007).

For the domain-wide analysis, the MM5 model predictions are extracted to compare with observations at the closest monitoring station using the METSTAT tool (Environ, 2004). The statistical parameters employed include the mean observation (Mean OBS), the mean prediction (Mean PRD), the bias, gross error, the root mean square error (RMSE), and the index of agreement (IOA). RMSE provides the information of overall model performance from both

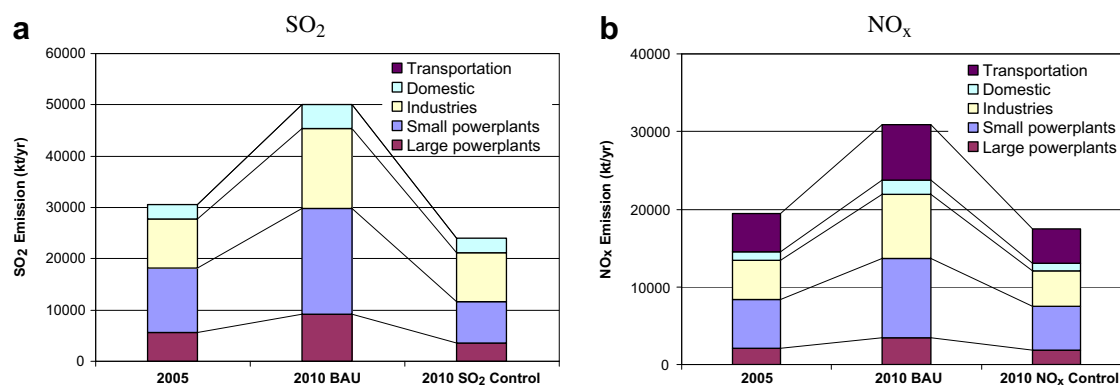


Fig. 2. SO₂ and NO_x emissions in China under the base year 2005 emission scenario, the 2010 Business-As-Usual (BAU) scenario, and SO₂ and NO_x control scenarios.

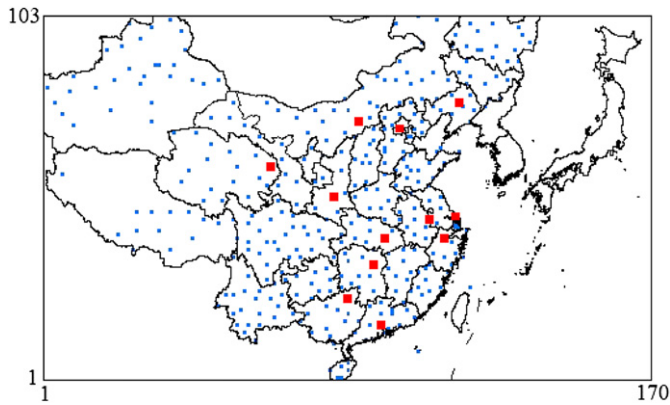


Fig. 3. Location of NCDC observational sites and 12 selected sites in China.

the systematic and the unsystematic root mean square errors (Sys RMSE and Unsys RMSE). Sys RMSE estimates the linear error of the model, while Unsys RMSE informs the error of random processes or influence beyond the legitimate range of the model. In general, a small value of Sys RMSE indicates a good model performance. When Sys RMSE approaches zero, Unsys RMSE becomes the RMSE. The IOA provides information on whether the predictions are error-free. The closer the IOA value is to one, the better the agreement between the simulated and observed values (Baker, 2004).

Table 1 lists the model performance statistics and the benchmarks suggested by Emery et al. (2001). These benchmark values are derived based on performance statistics of MM5 from a number of studies over the U.S. domain (mostly at a horizontal grid resolution of 4 and 12 km). While these values take into account the quality of the observational data available in the U.S., they may not be directly applicable to China where observational data are sparse. In addition, the use of a grid resolution of 12-km or finer generally gives more accurate meteorological predictions than that at 36-km.

Table 1
Performance statistics for meteorological variables.

		January	April	July	October	Benchmark	
Temperature	Mean OBS (°C)	-1.0	15.0	25.0	13.0		
	Mean PRD (°C)	-1.9	13.9	24.3	11.6		
	Bias (°C)	-1.0	-1.1	-0.6	-1.3	$\leq \pm 0.6^a$	
	Gross Error (°C)	2.4	2.4	2.2	2.3	$\leq 2.4^a$	
	RMSE (°C)	3.3	3.4	3.0	3.1		
	Sys RMSE (°C)	1.2	1.2	0.7	1.4		
	Unsys RMSE (°C)	3.0	3.2	3.0	2.8		
	IOA	0.9	0.9	0.9	0.9	≥ 0.8	
	Wind speed	Mean OBS (m s^{-1})	2.5	3.2	2.6	2.7	
		Mean PRD (m s^{-1})	3.2	3.6	3.1	3.2	
Bias (m s^{-1})		0.7	0.4	0.4	0.5	$\leq \pm 0.5$	
Gross Error (m s^{-1})		1.5	1.6	1.4	1.4	≤ 2.0	
RMSE (m s^{-1})		2.0	2.0	1.9	1.8	≤ 2.0	
Sys RMSE (m s^{-1})		1.2	1.2	1.2	1.1		
Unsys RMSE (m s^{-1})		1.7	1.7	1.4	1.5		
IOA		0.7	0.8	0.7	0.8	≥ 0.6	
Wind direction	Mean OBS (deg)	285	231	153	214		
	Mean PRD (deg)	304	233	154	224		
	Bias (deg)	5	5	5	4	≤ 10	
	Gross Error (deg)	48	46	47	44	≤ 30	
Precipitation	Mean OBS (mm)	24	84	221	22		
	Mean PRD (mm)	15	72	204	25		
	Bias (mm)	-9	-12	-18	3		
	Gross Error (mm)	19	26	30	7		

^a 20% increased from the actual benchmark values used in the U.S. recommended by Emery et al. (2001).

This may not, however, always be true and may vary from episode to episode (Queen and Zhang, 2008). To reflect these differences and apply the benchmark values for a 36 km horizontal resolution over China, an uncertainty factor of 20% is used to adjust the original temperature benchmark values of Emery et al. (2001) (except for IOA) for MM5 evaluation in this study (Emery et al., 2001; Kim et al., 2010).

As shown in Table 1, the observed temperatures at 2-m are reproduced reasonably well by MM5 with moderate Sys RMSEs and relatively large Unsys RMSEs. The large Unsys RMSEs indicate that most of the errors are random errors. The IOA values for all four months are very close to one, indicating an overall good model performance. MM5, however, tends to underpredict T2, with cold biases of 0.63 to -1.32 °C. For WS10, the gross errors and RMSEs for all the four months are below the benchmark value of 2.0 m s^{-1} . The corresponding IOA values are above 0.6. The biases for April, July, and October are equal to or below the benchmark value of 0.5 m s^{-1} , and is slightly above this benchmark value for January. These statistics indicate an overall satisfactory performance in terms of wind speed. For wind direction, No RMSE and the IOA are available in METSTAT. While the WD10 bias is below the 10 degrees benchmark value, the gross errors range from 43 to 47 degrees—13 to 17 degrees larger than the 30 degrees benchmark value. The high gross errors may result from a caveat in treating the wind direction vector as a scalar in METSTAT, as indicated in Zhang et al. (2006b), where error calculations are performed inconsistently when determining the differences between simulated and observed values. On a wind rose plot, both 0 and 360 degrees represent the direction of north. Therefore, for instance, if the observed wind is in the north direction and the predicted value is 190 degrees, the actual difference can be $190-0=190$ degrees or $360-190=170$ degrees. If the first value (i.e., 190) is selected in calculating the gross errors, this increases the actual difference in the gross errors by 20 degrees. For monthly total precipitation, the statistical values are calculated based on observations from two hundred national meteorological stations. The statistical results show that the precipitation in January, April and July is underpredicted and the precipitation in October is slightly overpredicted. Overall, no extreme precipitation disagreement is found between the observations and predictions.

5. Conclusions

Due to rapid economic and energy demand growth, China currently experiences severe air pollution. Air quality will continue to decline without policies to control emissions. In this study, the U.S. EPA's CMAQ air quality modeling system is applied to simulate air quality over China to assess the air quality benefits that would result from the SO₂ emission controls planned by the Chinese government through the 11th FYP and, separately, NO_x emission controls assumed during the same period.

In this Part I paper, four emission scenarios were constructed: the base year 2005, the 2010 BAU scenario with the assumption that the growth rate and emission factors for coal and petroleum are the same as those during the 10th FYP, the 2010 SO₂ control scenario based on a detailed projected emission inventory for power sector by MEP, and the 2010 NO_x control scenario with 10% NO_x emission reduction relative to the 2005 level. The results shows that in 2010 SO₂ control scenario the national total SO₂ emissions will decline by 21% from the 2005 level and 52% from the 2010 BAU scenario. The 10% NO_x emission reduction in 2010 from the 2005 level requires a 43% reduction from the 2010 BAU scenario.

The model performance for the base year 2005 is statistically evaluated. In this Part I paper, the meteorological predictions of

MM5 are evaluated against observations in terms of the four key parameters, temperature, wind speed, wind directions and precipitations. The result shows that MM5 performed reasonably well for all the four simulation months in the base year 2005. The MM5 outputs are acceptable for use in the CMAQ modeling.

Acknowledgements

This study was sponsored by U.S. EPA/Office of Air Quality Planning & Standards via contract #4-321-0210288 at North Carolina State University and by MEP at Tsinghua University, China. Thanks are due to the U.S. EPA for its technical support in CMAQ modeling.

References

- AISC (Association of Iron and Steel Industry in China), 2002. China Iron and Steel Statistics 2002. AISC, Beijing, China (in Chinese).
- AISC (Association of Iron and Steel Industry in China), 2006. China Iron and Steel Statistics 2006. AISC, Beijing, China (in Chinese).
- Baker, K., 2004. Meteorological Modeling Protocol for Application to PM_{2.5}/haze/ozone Modeling Projects. Lake Michigan Air Directors Consortium, Des Plaines, IL, January 12, 2004.
- Binkowski, F.S., Shankar, U., 1995. The regional particulate model 1. Model description and preliminary results. *Journal of Geophysical Research* 100 (D12), 26191–26209.
- Blackadar, A.K., 1976. Modeling the Nocturnal Boundary Layer. In: *Proceedings of the Third Symposium on Atmospheric Turbulence, Diffusion and Air Quality*. Amer. Meteor. Soc., Boston, pp. 46–49.
- Byun, D.W., Ching, J.K.S., 1999. Science algorithms of the EPA Models-3 Community Multi-scale Air Quality (CMAQ) modeling system. EPA/600/R-99/030, Office of Research and Development, U.S.EPA.
- Byun, D.W., Schere, L.K., 2006. Review of the governing equations, computational algorithms, and other components of the models-3 community multiscale air quality (CMAQ) modeling system. *Applied Mechanics Reviews* 59 (2), 51–77.
- Chen, D., Cheng, S., Liu, L., et al., 2007. An integrated MM5-CMAQ modeling approach for assessing trans-boundary PM₁₀ contribution to the host city of 2008 Olympic summer games—Beijing, China. *Atmospheric Environment* 41 (6), 1237–1250.
- Cheng, S., Chen, D., Li, J., et al., 2007. The assessment of emission-source contributions to air quality by using a coupled MM5-ARPS-CMAQ modeling system: a case study in the Beijing metropolitan region, China. *Environmental Modelling & Software* 22 (11), 1601–1616.
- Deardorff, J.W., 1978. Efficient prediction of ground surface temperature and moisture, with inclusion of a layer of vegetation. *Journal of Geophysical Research* 83, 1889–1903.
- Emery, C., Tai, E., Yarwood, G., 2001. Enhanced meteorological modeling and performance evaluation for two Texas episodes. Report to the Texas Natural Resources Conservation Commission, prepared by ENVIRON, International Corp, Novato, CA.
- Environ International Corporation, 2004. 2002 Annual MM5 Simulation to Support WRAP CAMQ Visibility Modeling for the Section 308 SIP/TIP. Novato, CA, December 2004.
- Feng, Y., Wang, A., Wu, D., et al., 2007. The influence of tropical cyclone Melor on PM₁₀ concentrations during an aerosol episode over the Pearl River Delta region of China: numerical modeling versus observational analysis. *Atmospheric Environment* 41 (21), 4349–4365.
- Fu, J.S., Lam, N., Du, Y., 2007. Meteorological Modeling (MM5) Performance Report for U.S.EPA JES Project. Department of Civil and Environmental Engineering, University of Tennessee, Knoxville, TN 37996-2010.
- Fu, J.S., Jang, C.J., Streets, D.G., et al., 2008. MICS-Asia II: evaluating gaseous pollutants in East Asia using an advanced modeling system: Models-3/CMAQ System. *Atmospheric Environment* 42 (15), 3571–3583. doi:10.1016/j.atmosenv.2007.07.058.
- He, Y., Uno, I., Wang, Z., et al., 2007. Variations of the increasing trend of tropospheric NO₂ over central east China during the past decade. *Atmospheric Environment* 41 (23), 4865–4876.
- Jiang, Z., 2008. Reflections on energy issues in China. *Journal of Shanghai Jiaotong University* 42 (3), 345–359.
- Johnson, M., 2003. Meteorological modeling protocol: IDNR Annual MM5 Application. Prepared by the Iowa Department of Natural Resources, Air Quality Bureau, Des Moines, IA.
- Kain, J.S., Fritsch, J.M., 1993. Convective parameterization for mesoscale models: The Kain-Fritsch scheme. In: Emanuel, K.A., Raymond, D.J. (Eds.), *The representation of cumulus convection in numerical models*, Amer. Meteor. Soc., 246 pp.
- Kain, J.S., 2002. The Kain-Fritsch convective parameterization: an update. *Journal of Applied Meteorology* 43, 170–181.
- Kim, Y., Fu, J.S., Miller, T.L., 2010. Improving ozone modeling in complex terrain at a fine grid resolution: Part I-examination of analysis nudging and all PBL schemes associated with LSMs in meteorological model. *Atmospheric Environment* 44 (4), 523–532.
- Li, L., Chen, C.C., Cheng, H., et al., 2008. Regional air pollution characteristics simulation of O₃ and PM₁₀ over Yangtze River Delta Region. *Environmental Science* 29 (1), 237–245 (in Chinese).
- Liu, X., Zhang, Y., Cheng, S., et al., 2010. Understanding of regional air pollution over China using CMAQ, part I performance evaluation and seasonal variation. *Atmospheric Environment* 44 (20), 2415–2426. doi:10.1016/j.atmosenv.2010.03.035.
- Liu, X., Zhang, Y., Cheng, S., et al. Understanding of regional air pollution over China using CMAQ, Part II. Process Analysis and sensitivity of ozone and particulate matter to precursor emissions. *Atmospheric Environment*, in press, doi:10.1016/j.atmosenv.2010.03.036.
- Miao, J.F., Chen, D., Wyser, K., et al., 2007. Evaluation of MM5 mesoscale model at local scale for air quality applications over the Swedish west coast: Influence of PBL and LSM parameterizations. *Journal of Meteorology and Atmospheric Physics* 99 (1–2), 77–103. doi:10.1007/s00703-007-0267-2.
- Mlawer, E.J., Taubman, S.J., Brown, P.D., et al., 1997. Radiative transfer for inhomogeneous atmosphere: RRTM, a validated correlated-k model for the longwave. *Journal of Geophysical Research* 102 (D14), 16663–16682.
- MMBI (Ministry of Machine-Building Industry), 2000. Market Investigation Report on High Efficiency Industrial Boiler in China. Ministry of Machine-Building Industry, Beijing, China (in Chinese).
- MEP (Ministry of Environmental Protection), 2000. China National Ambient Air Quality Standard. http://www.sepa.gov.cn/tech/hjzb/bzwb/dqjhjb/dqjhjzlb/199612/t19961206_67502.htm (accessed 10.10.09).
- MEP (Ministry of Environmental Protection), 2001–2006. Report on the State of Environment in China, 2000–2005. <http://www.zhb.gov.cn/plan/zkgb/> (accessed 10.10.09).
- NBS (Natural Bureau of Statistics), 2002a. China Statistical Yearbook 2002. China Stat. Press, Beijing, China.
- NBS (Natural Bureau of Statistics), 2002b. China Industrial Economy Statistical Yearbook 1997–2001. China Stat. Press, Beijing, China.
- NBS (Natural Bureau of Statistics), 2004. China Energy Statistical Yearbook 2000–2002. China Stat. Press, Beijing, China.
- NBS (Natural Bureau of Statistics), 2005a. China Statistical Yearbook 2005. China Stat. Press, Beijing, China.
- NBS (Natural Bureau of Statistics), 2005b. China Energy Statistical Yearbook 2005. China Stat. Press, Beijing, China.
- NBS (Natural Bureau of Statistics), 2005c. China Industrial Economy Statistical Yearbook 2005. China Stat. Press, Beijing, China.
- NBS (Natural Bureau of Statistics), 2007. China Energy Statistical Yearbook 2006. China Stat. Press, Beijing, China.
- Quan, J., Zhang, X., 2008. Assessing the role of ammonia in sulfur transformation and deposition in China. *Atmospheric Research* 88 (1), 78–88.
- Quan, J., Zhang, X., Zhang, Q., et al., 2008. Importance of sulfate emission to sulfur deposition at urban and rural sites in China. *Atmospheric Research* 89 (3), 283–288.
- Queen, A., Zhang, Y., 2008. Examining the sensitivity of MM5/CMAQ predictions to explicit microphysics schemes and horizontal grid resolution, Part III—sensitivity to horizontal grid resolution. *Atmospheric Environment* 42 (16), 3869–3881.
- Reisner, J., Rasmussen, R.J., Bruintjes, R.T., 1998. Explicit forecasting of supercooled liquid water in winter storms using the MM5 mesoscale model. *Quarterly Journal of Royal Meteorological Society* 124B, 1071–1107.
- SCPRC (the State Council of People's Republic of China), 2007. National 11th Five Year Plan on Environmental Protection. http://www.gov.cn/zw/gk/2007-11/26/content_815498.htm (accessed 10.10.09).
- SEI (Stockholm Environment Institute), 2001. User guide for LEAP 2000. Stockholm Environment Institute, Boston, USA.
- Shi, C., Fernando, H.J.S., Wang, Z., et al., 2008. Tropospheric NO₂ columns over East Central China: Comparisons between SCIAMACHY measurements and nested CMAQ simulations. *Atmospheric Environment* 42 (30), 7165–7173.
- Streets, D.G., Bond, T.C., Carmichael, G.R., et al., 2003. An inventory of gaseous and primary aerosol emissions in Asia in the year 2000. *Journal of Geophysical Research* 108 (D21), 8809. doi:10.1029/2002JD003093.
- Streets, D.G., Zhang, Q., Wang, L., et al., 2006. Revisiting China's CO emissions after TRACE-P: Synthesis of inventories, atmospheric modeling, and observations. *Journal of Geophysical Research* 111, D14306. doi:10.1029/2006JD007118.
- Streets, D.G., Fu, J.S., Jang, C.J., et al., 2007. Air quality during the 2008 Beijing Olympic games. *Atmospheric Environment* 41 (3), 480–492.
- U.S. EPA (U.S. Environmental Protection Agency), 1996. Compilation of air pollutant emission factors, U.S. EPA Rep. AP-42. Research Triangle Park, NC.
- Uno, I., He, Y., Ohara, T., et al., 2007. Systematic analysis of interannual and seasonal variations of model-simulated tropospheric NO₂ in Asia and comparison with GOME-satellite data. *Atmospheric Chemistry and Physics* 7, 1671–1681.
- Wang, K., Zhang, Y., Jang, C.J., et al., 2008a. Modeling study of intercontinental air pollution transport over the trans-pacific region in 2001 using the community multiscale air quality (CMAQ) Modeling System. *Journal of Geophysical Research* 114, D4. D04307.
- Wang, L., Hao, J., He, K., et al., 2008b. A modeling study of coarse particulate matter pollution in Beijing: regional source contributions and control implications for the 2008 Summer Olympics. *Journal of Air and Waste Management Association* 58, 1057–1069. doi:10.3155/1047-3289.58.8.1057.
- Wang, X., Mauzerall, D.L., Hu, Y., et al., 2005. A high-resolution emission inventory for eastern China in 2000 and three scenarios for 2020. *Atmospheric Environment* 39 (32), 5917–5933.
- Wei, X.L., Li, Y.S., Lam, K.S., et al., 2007. Impact of biogenic VOC emissions on a tropical cyclone-related ozone episode in the Pearl River Delta region, China. *Atmospheric Environment* 41 (36), 7851–7864.

- Woo, J.-H., Baek, J.M., Kim, J.W., et al., 2003. Development of a multi-resolution emission inventory and its impact on sulfur distribution for Northeast Asia. *Water Air Soil Pollution* 148, 259–278.
- Xiao, F., Xu, L., 2005. Characteristics of weather and climate and main meteorological disasters in China in 2005. *Meteorological Monthly* 32 (4), 78–83 (in Chinese).
- Zhang, D.L., Anthes, R.A., 1982. A high-resolution model of the planetary boundary layer – sensitive tests and comparisons with SESAME-79 data. *Journal of Applied Meteorology* 21, 1594–1609.
- Zhang, M., Uno, I., Yoshi, Y., et al., 2004. Transport and transformation of sulfur compounds over East Asia during the TRACE-P and ACE-Asia campaigns. *Atmospheric Environment* 38, 6947–6959.
- Zhang, M., 2004. Modeling of organic carbon aerosol distributions over east Asia in the springtime. *China Particuology* 2 (5), 192–195.
- Zhang, M., 2005. Numerical simulation with a comprehensive chemical transport model of nitrate, sulfate, and ammonium aerosol distributions over east Asia. *China Particuology* 3 (5), 255–259.
- Zhang, M., Uno, I., Zhang, R., et al., 2006a. Evaluation of the Models-3 Community Multi-scale Air Quality (CMAQ) modeling system with observations obtained during the TRACE-P experiment: comparison of ozone and its related species. *Atmospheric Environment* 40 (26), 4874–4882.
- Zhang, Y., Liu, P., Pun, B., et al., 2006b. A comprehensive performance evaluation of MM5-CMAQ for the Summer 1999 Southern Oxidants Study episode—Part I: evaluation protocols, databases, and meteorological predictions. *Atmospheric Environmental* 40, 4825–4838.
- Zhang, Q., Streets, D.G., He, K., et al., 2007a. NO_x emission trends for China, 1995–2004: The view from the ground and the view from space. *Journal Geophysical Research* 112, D22306. doi:10.1029/2007JD008684.
- Zhang, Q., Streets, D.G., He, K., Klimont, Z., 2007b. Major components of China's anthropogenic primary particulate emissions. *Environmental Research Letters* 2, 045027. doi:10.1088/1748-9326/2/4/045027.
- Zhang, M., Han, Z., Zhu, L., 2007c. Simulation of atmospheric aerosols in East Asia using modeling system RAMS-CMAQ: model evaluation. *China Particuology* 5 (5), 321–327.
- Zhang, Q., Streets, D.G., Carmichael, G.R., et al., 2009. Asian emissions in 2006 for the NASA INTEX-B mission. *Atmospheric Chemistry and Physics* 9, 5131–5153.
- Zhou, D., 2003. China's Sustainable Energy Scenarios in 2020. China Environmental Press, Beijing, China (in Chinese).